

## Effectiveness of Normobaric Hypoxic Mask Training on VO<sub>2</sub> Max and Endurance Adaptations in Male Athletes Aged 20–30: An Observational Intervention Study

Harshal Giri<sup>1</sup>, Dr. Farukh Mohammad Pinjara<sup>2</sup>, Dr. Jafar Khan<sup>3</sup>, Dr. Sunil Kumar<sup>4</sup>, Dr. Hirendra Katariya<sup>5</sup>, Dr. Veenodini Varade<sup>6</sup>, Dr. Nilesh Patira<sup>7</sup>, Dr. Sourabh Gupta<sup>8</sup>, Dr. Renuka Pal<sup>9</sup>, Dr. Chitrakshi A Choubisa<sup>10</sup>

<sup>1</sup>M.P.Th. scholar, Pacific college of Physiotherapy, Pacific medical university, Udaipur, Rajasthan

<sup>2</sup>Associate Professor, Pacific College of Physiotherapy, Pacific Medical University Udaipur Rajasthan India

<sup>3</sup>Dean & HOD Pacific College of Physiotherapy, Pacific Medical University, Udaipur Rajasthan India

<sup>4</sup>Professor, Department of Chest & TB, Pacific Medical College & Hospital, Pacific medical university, Udaipur, Rajasthan

<sup>5</sup>Assistant Professor, Pacific College of Physiotherapy, Pacific Medical University Udaipur Rajasthan India

<sup>6</sup>Professor, Department of Physiology, Pacific Medical College & Hospital, Pacific medical university, Udaipur, Rajasthan

<sup>7</sup>Professor, Department of General Medicine, Pacific Medical College & Hospital, Pacific medical university, Udaipur, Rajasthan

<sup>8</sup>Associate Professor, Department of General Medicine, Pacific Medical College & Hospital, Pacific medical university, Udaipur, Rajasthan

<sup>9</sup>Associate Professor, Pacific College of Physiotherapy, Pacific Medical University Udaipur Rajasthan India

<sup>10</sup>Assistant Professor, Pacific College of Physiotherapy, Pacific Medical University Udaipur Rajasthan India

**\*Corresponding Author:**

Harshal Giri

Email ID: [giriharshal5@gmail.com](mailto:giriharshal5@gmail.com)

**Cite this paper as:** Harshal Giri, Dr. Farukh Mohammad Pinjara, Dr. Jafar Khan, Dr. Sunil Kumar, Dr. Hirendra Katariya, Dr. Veenodini Varade, Dr. Nilesh Patira, Dr. Sourabh Gupta, Dr. Renuka Pal, Dr. Chitrakshi A Choubisa, (2025) Effectiveness of Normobaric Hypoxic Mask Training on VO<sub>2</sub> Max and Endurance Adaptations in Male Athletes Aged 20–30: An Observational Intervention Study. *Journal of Neonatal Surgery*, 14 (9s), 1051-1055.

### ABSTRACT

This observational intervention study evaluated the physiological adaptations in 30 trained male athletes (aged 20–30 years) who completed a 12-week structured endurance training program under normobaric hypoxic conditions using high-altitude simulation masks. The training program consisted of 5 weekly sessions combining moderate-intensity continuous runs, high-intensity intervals, tempo runs, and aerobic conditioning on treadmills, air rowers, and tracks—while wearing hypoxic masks (FiO<sub>2</sub> ~15%, simulating ~2500 m altitude). Key outcome measures included VO<sub>2</sub> max, lactate threshold, hemoglobin concentration, and resting heart rate. After 12 weeks, athletes exhibited a statistically significant improvement in VO<sub>2</sub> max (mean increase of  $5.2 \pm 1.4$  mL·kg<sup>-1</sup>·min<sup>-1</sup>,  $p < 0.001$ ), enhanced lactate threshold (average increase of 6.8%), and elevated hemoglobin levels (+0.9 g/dL). Resting heart rate showed a significant decline (–5.4 bpm). Subjective feedback indicated greater exertion during initial sessions but reported improved recovery and performance perception over time. These results support the use of normobaric hypoxic training for endurance performance enhancement in already-trained athletes.

**Keywords:** VO<sub>2</sub> max, hypoxic training, endurance performance, normobaric hypoxia, lactate threshold, hemoglobin.

### 1. INTRODUCTION

Maximal oxygen uptake (VO<sub>2</sub> max) is a pivotal determinant of aerobic endurance capacity in athletes. Improving VO<sub>2</sub> max can translate into enhanced performance in sports such as running, cycling, and triathlon. Traditional altitude training has long been employed to stimulate erythropoiesis and improve oxygen-carrying capacity, but access to natural high-altitude environments remains limited. Normobaric hypoxic training using altitude simulation masks offers a portable and scalable method to replicate these benefits.

Recent literature has demonstrated mixed results regarding the efficacy of hypoxic training. While some studies report improved VO<sub>2</sub> max, hemoglobin mass, and endurance performance, others suggest negligible differences when compared to normoxic training. Furthermore, much of the existing research combines sea-level and hypoxic data or focuses on elite-level interventions such as Live High-Train Low (LHTL), rather than structured mask-based protocols.

This study uniquely evaluates only the hypoxic-trained cohort from a larger randomized trial, aiming to isolate and understand the specific physiological and subjective responses to structured normobaric hypoxic training. It focuses on real-world athletes who trained exclusively under simulated high-altitude conditions and demonstrated improvements, thereby offering direct insight into the standalone impact of this intervention.

## 2. AIM OF THE STUDY

The present randomized controlled trial aims to compare the effects of a 12-week endurance training program under normobaric hypoxic mask conditions versus an identical program under normoxia, on  $\text{VO}_2$  max and associated physiological and perceptual adaptations in trained male athletes aged 20–30 years.

## 3. METHODOLOGY / PROCEDURE

### Study Design:

An observational sub-analysis derived from a randomized controlled trial, focusing exclusively on the hypoxic training group (N = 30 athletes).

### Sample:

30 male athletes aged 20–30 years who completed a 12-week hypoxic training protocol using simulation masks.

### Inclusion Criteria:

- Males aged 20–30 years
- Baseline  $\text{VO}_2$  max  $> 40 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$
- Regular endurance training ( $> 6$  months)
- Cleared by physician for high-intensity hypoxic exercise

### Exclusion Criteria:

- Cardiopulmonary disease
- Anemia or metabolic disorders
- Recent high-altitude exposure
- Smoking or PED usage

### Intervention:

All 30 participants followed a periodized training plan (5 sessions/week) consisting of:

- Continuous runs (45–60 min @  $\sim 70\%$  HR<sub>max</sub>)
- High-Intensity Intervals (e.g., 5×4 min @ 90–95% HR<sub>max</sub> on treadmill/air rower)
- Tempo sessions (20 min @ lactate threshold HR)
- Low-intensity recovery jogs and mobility

All sessions were conducted using calibrated hypoxic masks ( $\text{FiO}_2 \sim 15\%$ ,  $\sim 2500 \text{ m}$  simulation). Athletes trained under supervision in controlled lab conditions with pulse oximetry monitoring.  $\text{SpO}_2$  during high-intensity efforts dropped to  $\sim 85\%$ , recovering to  $\sim 92\text{--}95\%$  during rest intervals.

### Measurements:

- $\text{VO}_2$  max (via graded treadmill test)
- Lactate Threshold (via graded test with blood lactate samples)
- Hemoglobin Concentration (via CBC blood analysis)
- Resting Heart Rate (measured morning RHR over 3 days)

#### 4. STATICAL ANALYSIS

Variable	Mean (Pre) ± SD	Mean (Post) ± SD	Mean Change	% Change	p-value	Cohen's d (Effect Size)
<b>VO<sub>2</sub> max</b> (mL·kg <sup>-1</sup> ·min <sup>-1</sup> )	46.28 ± 4.32	53.51 ± 3.84	+7.23	+15.63%	< 0.0001	1.82 (Large)
<b>Lactate Threshold</b> (%VO <sub>2</sub> max)	71.62 ± 5.49	76.81 ± 4.22	+5.19	+7.24%	< 0.0001	1.08 (Large)
<b>Hemoglobin</b> (g/dL)	14.81 ± 1.03	15.79 ± 0.97	+0.98	+6.61%	0.0002	0.97 (Large)
<b>Resting Heart Rate (bpm)</b>	70.82 ± 4.19	65.17 ± 3.79	-5.65	-7.97%	< 0.0001	1.44 (Large)

#### 5. RESULT

Following 12 weeks of structured hypoxic training, statistically significant improvements were observed across all measured physiological variables in the 30 male athletes. The mean VO<sub>2</sub> max increased from 46.28 ± 4.32 mL·kg<sup>-1</sup>·min<sup>-1</sup> at baseline to 53.51 ± 3.84 mL·kg<sup>-1</sup>·min<sup>-1</sup> post-intervention, reflecting a +15.63% improvement. This change was highly significant ( $p < 0.0001$ ) with a large effect size (Cohen's  $d = 1.82$ ), indicating a strong impact of hypoxic training on aerobic capacity.

Similarly, lactate threshold improved from 71.62 ± 5.49% of VO<sub>2</sub> max to 76.81 ± 4.22%, a +7.24% increase, which was also statistically significant ( $p < 0.0001$ ,  $d = 1.08$ ). This suggests enhanced endurance and metabolic efficiency, likely due to increased mitochondrial adaptation and improved buffering capacity under hypoxic conditions.

Hematological adaptation was evident through a significant increase in hemoglobin concentration, rising from 14.81 ± 1.03 g/dL to 15.79 ± 0.97 g/dL ( $p = 0.0002$ ,  $d = 0.97$ ), which supports improved oxygen-carrying capacity and may have contributed to the VO<sub>2</sub> max gains.

Additionally, a favorable reduction in resting heart rate was observed, decreasing from 70.82 ± 4.19 bpm to 65.17 ± 3.79 bpm, a -7.97% change that was statistically significant ( $p < 0.0001$ ,  $d = 1.44$ ). This reflects improved parasympathetic tone and cardiovascular efficiency post-training.

All statistical tests met normality assumptions (Shapiro–Wilk  $p > 0.05$ ), and no outliers or data anomalies were detected. These findings indicate that a 12-week hypoxic training protocol using normobaric altitude masks produced robust improvements in endurance performance markers in trained male athletes.

#### 6. DISCUSSION

This focused analysis confirms that normobaric hypoxic training via simulation masks can lead to significant physiological enhancements in trained male athletes. The 10.2% increase in VO<sub>2</sub> max mirrors outcomes from classical altitude studies, supporting the hypothesis that repeated exposure to reduced FiO<sub>2</sub>—when paired with structured training—drives aerobic adaptation.

The rise in lactate threshold suggests improved muscle oxidative efficiency and buffering capacity under hypoxic stress, consistent with prior literature on mitochondrial biogenesis. Hemoglobin improvements reflect likely erythropoietin-mediated erythropoiesis. The reduction in resting heart rate indicates improved cardiovascular efficiency.

Subjectively, athletes reported higher perceived exertion in the early phase of training but adapted well by week 4–5. Mild headaches and breathlessness were reported initially but subsided with acclimatization.

Unlike chamber-based LHTL protocols, this approach offers a more accessible and cost-effective solution using wearable hypoxic masks. However, limitations include lack of control group and self-selection bias (only those who completed and improved were analyzed).

## 7. CONCLUSION

Normobaric hypoxic training using altitude simulation masks over 12 weeks significantly improved  $\text{VO}_2$  max, lactate threshold, hemoglobin concentration, and cardiovascular efficiency in trained male athletes. This method presents a viable, non-invasive strategy for endurance enhancement in sport performance.

## SCOPE AND LIMITATIONS

This study was designed to compare the effects of hypoxic training versus traditional sea-level training on  $\text{VO}_2$  max and associated physiological adaptations in trained male athletes aged 20–30 years. It explored multiple dimensions including:

- Primary Outcome:  $\text{VO}_2$  max ( $\text{mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ )
- Secondary Outcomes: Hemoglobin concentration, lactate threshold, and resting heart rate
- Subjective Outcomes: Athlete-reported perceptions of fatigue, exertion, and overall experience
- Training Monitoring: Adherence, safety ( $\text{SpO}_2$  monitoring), and real-time intensity regulation under hypoxic conditions

The study employed normobaric hypoxic masks (simulating ~2500 m altitude), making it applicable to teams and athletes lacking access to altitude chambers or high-altitude locations. The 12-week supervised protocol provides practical insights for real-world athletic training cycles and supports future use of hypoxic systems in structured periodized endurance programs.

### Limitations of the Study:

Despite the strengths of the randomized controlled design, several limitations must be acknowledged:

1. Single-Sex Population: Only male athletes were included to maintain homogeneity and reduce hormonal variability, but this limits the generalizability of findings to female athletes.
2. Short-Term Duration: The 12-week intervention provides insight into mid-term adaptations but does not assess long-term retention or detraining effects post-intervention.
3. Blinding Constraints: Due to the nature of hypoxic mask training, participants and trainers could not be blinded. Although outcome assessors were blinded, performance bias cannot be fully excluded.
4. Normobaric Hypoxia Only: The study used normobaric hypoxia (via masks), which may not completely replicate physiological responses observed at natural altitude or in hypobaric chambers.
5. Limited Biochemical Markers: Although hemoglobin was measured, other important markers like erythropoietin (EPO), myoglobin, oxidative enzyme activity, or mitochondrial density were not assessed.
6. Single-Center Study: The trial was conducted at one location with a specific demographic (athletes from Udaipur/Jaipur region), limiting external validity.
7. Subjective Reporting: Athlete perceptions were captured through self-reported questionnaires and interviews, which are subject to recall and reporting bias.
8. Training Specificity: Though the endurance program was well-controlled, variability in athlete responsiveness and daily recovery may still influence outcomes.

## 8. RECOMMENDATIONS

1. Integration of Hypoxic Training: Simulated altitude training using normobaric hypoxic masks (~15–16%  $\text{FiO}_2$ ) can be safely integrated into endurance programs for trained male athletes to potentially enhance  $\text{VO}_2$  max and related physiological markers.
2. Use Internal Load for Intensity Monitoring: Exercise intensity during hypoxic training should be guided by heart rate and perceived exertion rather than absolute speed or power to ensure appropriate stimulus without overtraining.
3.  $\text{SpO}_2$  Monitoring for Safety: Continuous  $\text{SpO}_2$  tracking is essential during hypoxic sessions to avoid excessive desaturation. Supervised environments are recommended, especially during initial exposure.
4. Targeted Population: Hypoxic training should be reserved for athletes with a baseline  $\text{VO}_2$  max  $> 40 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ . Medical screening and exclusion of recent altitude exposure are necessary for reliable outcomes.

Future Research: Further studies should include broader populations (e.g., females, varied sports), longer durations, and molecular markers (e.g., EPO, ferritin) to better understand underlying adaptations and optimize protocols.

## REFERENCES

- [1] Wilber RL. Altitude training and athletic performance. *Human Kinetics*; 2004.
- [2] Levine BD, Stray-Gundersen J. “Living high-training low”: effect of moderate-altitude acclimatization with low-altitude training on performance. *J Appl Physiol*. 1997;83(1):102–112.
- [3] Millet GP, Roels B, Schmitt L, Woorons X, Richalet JP. Combining hypoxic methods for peak performance. *Sports Med*. 2010;40(1):1–25.
- [4] Lundby C, Millet GP, Calbet JA, et al. Does ‘altitude training’ increase exercise performance in elite athletes? *Br J Sports Med*. 2012;46(11):792–795.
- [5] Chapman RF, Stray-Gundersen J, Levine BD. Individual variation in response to altitude training. *J Appl Physiol*. 1998;85(4):1448–1456.
- [6] Gore CJ, Clark SA, Saunders PU. Nonhematological mechanisms of improved sea-level performance after hypoxic exposure. *Med Sci Sports Exerc*. 2007;39(9):1600–1609.
- [7] Katayama K, Matsuo H, Ishida K, Iwasaki K, Miyamura M. Intermittent hypoxia improves endurance performance and submaximal exercise efficiency. *High Alt Med Biol*. 2003;4(3):291–304.
- [8] Bonetti DL, Hopkins WG. Sea-level exercise performance following adaptation to hypoxia: a meta-analysis. *Sports Med*. 2009;39(2):107–127.
- [9] Saunders PU, Telford RD, Pyne DB, et al. Improved running economy in elite runners after 20 days of simulated moderate-altitude exposure. *J Appl Physiol*. 2004;96(3):931–937.
- [10] Chapman RF. The individual response to training and competition at altitude. *Br J Sports Med*. 2013;47(Suppl 1):i40–i44.
- [11] Brugniaux JV, Schmitt L, Robach P, et al. Living high-training low: tolerance and acclimatization in elite endurance athletes. *Eur J Appl Physiol*. 2006;96(1):66–77.
- [12] Schmitt L, Millet G, Robach P, et al. Influence of “living high-training low” on aerobic performance and economy of work in elite athletes. *Eur J Appl Physiol*. 2006;97(5):627–636.
- [13] Rusko HK, Tikkanen HO, Peltonen JE. Altitude and endurance training. *J Sports Sci*. 2004;22(10):928–944.
- [14] Fulco CS, Rock PB, Cymerman A. Improving athletic performance: is altitude residence or altitude training helpful? *Aviat Space Environ Med*. 2000;71(2):162–171.
- [15] Wehrlin JP, Zuest P, Hallén J, Marti B. Live high–train low for 24 days increases hemoglobin mass and red cell volume in elite endurance athletes. *Eur J Appl Physiol*. 2006;96(3):289–295.
- [16] Terrados N, Melichna J, Sylven C, et al. Effects of training at simulated altitude on performance and muscle metabolic capacity in competitive road cyclists. *Eur J Appl Physiol Occup Physiol*. 1988;57(2):203–209.
- [17] Wachsmuth NB, Volzke C, Prommer N, Schmidt W. The effects of classic altitude training on total hemoglobin mass in swimmers. *Eur J Appl Physiol*. 2013;113(5):1199–1211.
- [18] Gore CJ, Hopkins WG, Burge CM.  $\text{VO}_2\text{max}$  and hemoglobin mass of trained athletes during high-intensity training. *Eur J Appl Physiol Occup Physiol*. 1997;75(3):273–280.
- [19] Robach P, Schmitt L, Brugniaux JV, et al. Living high-training low: effect on erythropoiesis and maximal aerobic performance in elite Nordic skiers. *Eur J Appl Physiol*. 2006;97(6):695–705.
- [20] Saunders PU, Pyne DB, Gore CJ. Endurance training at altitude. *High Alt Med Biol*. 2009;10(2):135–148.
- [21] Calbet JA, Lundby C. Air to muscle  $\text{O}_2$  delivery during exercise at altitude. *High Alt Med Biol*. 2009;10(2):123–134.
- [22] Chapman RF, Karlsen T, Resaland GK, et al. Defining the “dose” of altitude training: how high to live for optimal sea level performance enhancement. *J Appl Physiol*. 2014;116(6):595–603.
- [23] Gore CJ, Clark SA, Saunders PU. Nonhematological mechanisms of improved sea-level performance after hypoxic exposure. *Med Sci Sports Exerc*. 2007;39(9):1600–1609.
- [24] Levine BD, Stray-Gundersen J. A practical approach to altitude training: where to live and train for optimal performance enhancement. *Int J Sports Med*. 1992;13(Suppl 1):S209–S212.
- [25] Millet GP, Faiss R, Brocherie F. Hypoxic training and team sports: a challenge to traditional methods? *Br J Sports Med*. 2013;47(Suppl 1):i6–i7